

Docket No.: 21581-00314-US

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Masahiko Matsukawa et al.

Application No.: 10/743,387 Confirmation No.: 7938

Filed: December 23, 2003 Art Unit: 1762

For: PRETREATMENT METHOD FOR

COATING

Examiner: Not Yet Assigned

## <u>INFORMATION DISCLOSURE STATEMENT (IDS)</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

The relevance of non-English language references BI and BK can be found in the attached copy of a search report issued in a European counterpart to the present application.

A copy of each reference on the PTO/SB/08 is attached.

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In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 22-0185, under Order No. 21581-00314-US. A duplicate copy of this paper is enclosed.

Dated: 4-22-04

Respectfully submitted,

Burton A. Amernick

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PTO/SB/08a/b (06-03)
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Substitute for form 1449A/B/PTO

Complete if Known

Application Number 10/743,387-Conf. #7938

Filing Date December 23, 2003

First Named Inventor Masahiko Matsukawa et al.

Art Unit 1762

STATEMENT BY APPLICANT

(Use as many she ets as necessary)

Sheet 1 of 1 Attorney Docket Number 21581-00314-US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				T⁵		
	BA	WO-01/86016-A2	11-15-2001	Henkel Corporation				
	BB WO-01/12876-A1 02-22-2001 Henkel Corporation		Henkel Corporation					
	ВС	EP-0 949 353-A1	10-13-1999	Nihon Parkerizing Co., Ltd.				
	BD	WO-99/14399-A1	03-25-1999	Brent International PLC				
	BE	EP-1 130 131-A2	09-05-2001	Nippon Paint Co., Ltd.				
	BF	EP-1 130 132-A2	09-05-2001	Nippon Paint Co., Ltd.				
	BG	EP-1 130 133-A2	09-05-2001	2001 Nippon Paint Co., Ltd.				
	ВН	WO-98/16324-A1	04-23-1998	Betzdearborn Inc.				
	ВІ	EP-0 153 973-A1	09-11-1985	Nihon Parkerizing Co., Ltd.				
	BJ	WO-95/21277-A1	08-10-1995	Henkel Corporation				
	BK	DE-199 33 189-A1	01-18-2001	Henkel KGaA				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		

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Examiner			Date	
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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.